



MEMORANDUM

To:	DISABILITY AND AGING SERVICES COMMISSION
Through:	KELLY DEARMAN, EXECUTIVE DIRECTOR
From:	CINDY KAUFFMAN, DEPUTY DIRECTOR ESPERANZA ZAPIEN, DIRECTOR OF CONTRACTS
Date:	WEDNESDAY, JUNE 3, 2026
Subject:	REVIEW AND APPROVAL OF CALIFORNIA DEPARTMENT OF AGING'S AGING AND DISABILITY RESOURCE CONNECTION INFRASTRUCTURE GRANT, CONTRACT NUMBER AD-2627-05, ASSOCIATED BUDGET, AND ALL SUBSEQUENT AMENDMENTS
Grant Term:	07/01/26 – 06/30/27

Through the California Department of Aging's (CDA) Infrastructure Grant Program, the Department of Disability and Aging Services (DAS) is in receipt of funding in the amount of \$324,650 for use in DAS's Aging and Disability Resource Center (ADRC) program. DAS seeks approval from the Disability and Aging Service Commission to enter a contract with the CDA for the receipt and use of these state funds.

Background

While San Francisco has many high-quality services and support systems available to older adults, adults with disabilities, and caregivers, navigating and accessing these services can be a challenge. ADRCs were created to reduce barriers to accessing services by acting as "No Wrong Door" entry points that provide information, referrals, and assistance services to target populations. San Francisco has been successfully supporting consumers throughout the city using the ADRC model.

Since 2019, the State of California has provided grant funding to develop emerging ADRCs and strengthen existing ADRCs. One-time-only grant funding for fiscal year 26/27 is being sent to DAS for its ADRC program in San Francisco; DAS plans to use this funding to continue expanded language accessibility to ADRC services. As part of the contract execution process, this agreement and implementation plan is presented to the DAS Commission for review and approval.



Services to be Provided

The ADRC providers in San Francisco are located throughout the city and act as community spaces that provide vital resource navigation support to their local communities. They provide information and referrals, assistance, and required follow-up services; they also conduct regular outreach to raise awareness of available services and strengthen connections with high-need communities. Each ADRC has trained staff and on-site supervisors.

To ensure that the community can access their services, ADRCs tailor their support to meet the linguistic, cultural, and neighborhood-specific needs of district residents. Accessibility via language capacity is especially important in San Francisco, as the city population speaks a wide variety of languages and many consumers have limited English proficiency.

The funds provided by this grant shall be used to provide expanded language accessibility in districts where language needs have been identified. Specifically, this grant will fund the employment of ADRC staff with language skills that meet the needs of their respective ADRC districts. These languages include Spanish, Russian, Filipino, Mandarin, Cantonese, Vietnamese, and American Sign Language.

When utilizing this funding, DAS will meet regular CDA requirements related to reporting on expenditures including demonstration that funding is used appropriately and in accordance with all applicable guidelines. These funds are one-time-only funds and available for expenditure until June 30, 2027.

Location of Services

The following are the ADRCs, their respective sites in San Francisco, and the language services provided. The ADRCs in bold are being funded by this grant:

ADRC	ADRC Site	District	Address	Language Services Provided:
Golden Gate Senior Services	Richmond Senior Center	1	6221 Geary Blvd, 94121	English, Cantonese, Mandarin, Russian
Sequoia Living	Aquatic Park Senior Center	2	890 Beach Street, 94109	English, Cantonese, Mandarin
Self-Help for the Elderly	Geen Mun Activity Center	3	777 Stockton St, 94108	English, Cantonese, Mandarin
Self-Help for the Elderly	Self-Help for the Elderly: Social Services Department	3	829 Kearny St, 94108	English, Cantonese, Mandarin, Vietnamese
Self-Help for the Elderly	Chi Sing Community Center	4	3133 Taraval St, 94116	English, Cantonese, Mandarin

Bayview Senior Services	Western Addition Senior Center	5	1390 ½ Turk Street, 94115	English, Cantonese, Mandarin
Sequoia Living	Downtown Senior Center	5	481 O'Farrell St, 94102	English, Cantonese, Mandarin
Episcopal Community Services	Canon Kip Senior Center	6	705 Natoma St, 94103	English, Filipino
Self-Help for the Elderly	West Portal Clubhouse	7	131 Lenox Way, 94127	English, Cantonese, Mandarin
On Lok	On Lok 30 th Street Senior Center	8	225 30th St, San Francisco, 94131	English, Spanish, Filipino, Mandarin
Mission Neighborhood Centers	Mission Neighborhood Centers Wolfsohn Campus	9	362 Capp St, 94110	English, Spanish, Russian, Cantonese, Mandarin
Bayview Senior Services	Dr. George W. Davis Senior Center	10	1753 Carroll Ave, 94124	English, Samoan
Self-Help for the Elderly	Geneva Community Center	11	5050 Mission St, Suite C, 94112	English, Cantonese, Mandarin, Filipino
Openhouse	Openhouse Bob Ross LGBTQ+ Senior Center	Citywide LGBTQ+ Focus	65 Laguna St, 94102	English, Spanish
Toolworks	Toolworks	Citywide Disability Focus	22 Battery St, Suite 300, 94108	English, American Sign Language
DAS Benefits and Resources Hub	DAS Benefits and Resource Hub	Citywide	2 Gough St, San Francisco, 94103	English, Spanish, Cantonese, Filipino, Japanese, Vietnamese, Cambodian/Khmer

Funding

The funds for this contract are provided by the State of California and administered by the California Department of Aging (CDA).

ATTACHMENTS

- **Contract AD-2627-05:** STD 213
- **Budget:** CDA 239

STATE OF CALIFORNIA – DEPARTMENT OF GENERAL SERVICES

STANDARD AGREEMENT

STD 213 (Rev. 04/2020)

AGREEMENT NUMBER AD-2627-05	PURCHASING AUTHORITY NUMBER (If Applicable)
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1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

California Department of Aging

CONTRACTOR NAME

City & County of San Francisco

2. The term of this Agreement is:

START DATE

07/01/2026

THROUGH END DATE

06/30/2027

3. The maximum amount of this Agreement is:

\$ 350,952 Three hundred fifty thousand nine hundred fifty-two and 00/100 dollars

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement.

EXHIBITS	TITLE	PAGES
Exhibit A	Scope of Work	6 pages
Exhibit A, Attachment 1	General Information	1 page
Exhibit B	Budget Detail, Payment Provisions, and Closeout	10 pages
Exhibit B, Attachment 1	Budget Display	1 page
Exhibit C*	General Terms and Conditions – GTC-02/2025*	0 pages
Exhibit D	Special Terms and Conditions	29 pages

Items shown with an asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto.

These documents can be viewed at <https://www.dgs.ca.gov/OLS/Resources>

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

CONTRACTOR

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

City & County of San Francisco

CONTRACTOR BUSINESS ADDRESS

P.O. Box 7988

CITY

San Francisco

STATE

CA

ZIP

94120-7988

PRINTED NAME OF PERSON SIGNING

Kelly Dearman

TITLE

Executive Director

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

STATE OF CALIFORNIA

CONTRACTING AGENCY NAME

California Department of Aging

CONTRACTING AGENCY ADDRESS

2880 Gateway Oaks Drive, Suite 200

CITY

Sacramento

STATE

CA

ZIP

95833

PRINTED NAME OF PERSON SIGNING

Han Pham

TITLE

Chief, Business Management Section

CONTRACTING AGENCY AUTHORIZED SIGNATURE

DATE SIGNED

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

AG OP 80-111

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**EXHIBIT A, Attachment 1
General Information**

- A. The Contractor agrees to provide to the California Department of Aging (CDA) the services described herein Agreement number AD-2627-06.
- B. The services shall be performed in the following county or counties or major portions of a geographical area: San Francisco.
- C. The services shall be provided as needed.
- D. The project representatives during the term of this agreement will be:

State Agency: California Department of Aging	Contractor: City and County of San Francisco:
Name: Ellen Meuchel, Chief Aging and Disability Resource Connection (ADRC) Section	Name: Kelly Dearman, Executive Director
Phone: (916) 283-7607	Phone: (415) 934-4401
Email: ADRC@aging.ca.gov	Email: kelly.dearman@sfgov.org >

Direct only fiscal inquiries to:

State Agency: California Department of Aging	Contractor: City and County of San Francisco
Name: Ellen Meuchel, Chief Aging and Disability Resource Connection (ADRC) Section	Name: Kelly Dearman, Executive Director
Address: 2880 Gateway Oaks Dr, Ste 200 Sacramento, CA 95834	Address: P.O. Box 7988 San Francisco, CA 94120-7988
Phone: (916) 283-7607	Phone: (415) 934-4401
Email: ADRC@aging.ca.gov	Email: kelly.dearman@sfgov.org

Direct only contract inquiries to:

State Agency: California Department of Aging	Contractor: City and County of San Francisco
Section/Unit: Business Services and Contracts	Section/Unit: Department of Disability and Aging Services
Attention: Andrew Reel	Attention: Kelly Dearman, Executive Director
Address: 2880 Gateway Oaks Dr, Ste 200 Sacramento, CA 95834	Address: P.O. Box 7988 San Francisco, CA 94120-7988
Phone: (916) 272-0469	Phone: (415) 934-4401

Email: Andrew.Reel@aging.ca.gov

Email: kelly.dearman@sfgov.org

The parties may change their representatives upon providing ten days written notice to the other party. Said changes do not require an amendment to this agreement.

Exhibit B, Attachment 1 - Budget Display

**AGING AND DISABILITY RESOURCE CONNECTION
Budget Display**

City & County of San Francisco

July 1, 2026 - June 30, 2027

STATE FISCAL YEAR 2026-2027	ALLOCATION	ADJUSTMENT	TOTAL
ADRC Baseline Amount	\$180,000	\$0	\$180,000
ADRC Allocated Amount	\$144,650	\$0	\$144,650
TOTAL AVAILABLE	\$324,650	\$0	\$324,650

Notes

Funds are available for expenditure through 6/30/27. Expenditures must be reported in closeout by 7/31/27.

PREAMBLE:

[California Senate Bill 80](#) (SB 80), (Chapter 27, Statutes of 2019), established the first state Aging and Disability Resource Connection (ADRC) Infrastructure Grants Program in 2019. The ADRC program develops coordinated networks of programs and services (No Wrong Door Systems) to serve the needs of older adults, people with disabilities and caregivers in navigating the fragmented/complicated system of long-term services and supports and achieving their personal goals and preferences for healthy aging. It enables consumers to access all long-term services and supports (LTSS) through a coordinated network or portal.

[California Welfare and Institutions Code, Section 9121](#) requires the California Department of Aging (CDA) to award grants to interested and qualified Area Agencies on Aging (AAA) and Independent Living Centers (ILC) to complete the planning and application process (as an Emerging ADRC) for designation and approval to operate as an ADRC program. In addition, grant funds may also be awarded to aid Designated ADRCs for the purpose of sustaining, expanding, and strengthening a local No Wrong Door (NWD) System.

The purpose of the ADRC Infrastructure Grants contract is to support Emerging and Designated ADRCs in developing, implementing, and sustaining a local NWD system that enhances an individual's navigation to existing long-term services and supports options through funding from the ADRC Infrastructure Grants Program pursuant to the California Welfare and Institutions Code Sections 9120-9122. Navigation, for the purposes of this agreement, is defined as:

- Connecting individuals to existing LTSS options;
- Support for an individual seeking information to understand the health care and long-term services and supports options available;
- Enhancing and/or converting service delivery models (i.e., converting to virtual and/or web-based services) to overcome consumer challenges in obtaining existing LTSS options;
- Adopting system change initiatives to improve care coordination for consumers and provide streamlined access to existing LTSS options;
- Improving coordination and integration between healthcare and long-term care service providers; and
- Establishing and maintaining entry points and interfaces at the local community level that are easy for individuals to find and access LTSS options.

ARTICLE I. PROGRAM DEFINITIONS

- A. “AAA” means Area Agency on Aging.
- B. “Activities” means work performed under this Agreement and approved by the State through a budget.
- C. “ADRC” means Aging and Disability Resource Connection.
- D. “ADRC Designation Criteria” means the criteria and standards used by the California Department of Aging for approving and designating an ADRC. The Designation Criteria may be found on the CDA website:
[https://aging.ca.gov/Providers and Partners/Aging and Disability Resource Connection/Establishing an ADRC/](https://aging.ca.gov/Providers_and_Partners/Aging_and_Disability_Resource_Connection/Establishing_an_ADRC/)
- E. “ADRC Core Partners” means the Area Agency(ies) on Aging and Independent Living Center(s), along with additional organizations identified by a local ADRC as Core Partners, jointly approved by the State as an Emerging ADRC or Designated ADRC.
- F. “Consumers” means older adults, people with disabilities, and their caregivers, family and friends, of any age and income, who are seeking access to long-term services and supports.
- G. “Contractor” means the Area Agency on Aging or Independent Living Center that is the authorized signer of the Agreement herein, and has also agreed through a formal agreement to be the entity responsible for submitting reimbursement requests and other required reports as mandated by statute. The Contractor is responsible to the State for requiring all Core and extended partners to implement the terms of this Agreement.
- H. “Service Functions” in reference to ADRC and this Agreement, means a minimum of the following points of access to LTSS: Enhanced Information and Referral Services, Options Counseling, Short-Term Service Coordination, and Transition Services.
- I. “Designated ADRC” is a local partnership operating an ADRC program that has been verified by the State as meeting the ADRC Designation Criteria to operate as a local coordinated network for supporting consumers with navigating long-term services and supports in a local community.
- J. “Emerging ADRC” is a local partnership formally recognized by the State as going through the planning, development, implementation, and application process to become a Designated ADRC.

ARTICLE I. PROGRAM DEFINITIONS (Continued)

- K. “Enhanced Information and Referral Services” means coordinated local systems that provide information on the full range of LTSS options and warm referrals between a wide array of organizations.
- L. “Extended Partners” means organizations that, along with the ADRC Core Partners, form the local ADRC and No Wrong Door (NWD) System. Extended Partners form the local ADRC and NWD System but are not designated to independently operate as an ADRC Program.
- M. “ILC” means an Independent Living Center.
- N. “Key Indicators” means a set of administrative analysis tools based on the California ADRC Designation Criteria. The Key Indicators are part of the ADRC Designation/Re-Designation Evaluation Tool that can be found on the CDA website: <https://aging.ca.gov/download.ashx?IE0rcNUV0zbTSniLnKkrYg%3d%3d>
- O. “Marketing/Outreach Plan” means a plan developed by the local ADRC Core Partners for publicizing the ADRC and its local services to local consumers.
- P. “No Wrong Door System” means a system that enables consumers to access all long-term services and supports (LTSS) through one agency, organization, coordinated network, or portal, and that provides information regarding the availability of LTSS, how to apply for LTSS, referral services for LTSS otherwise available in the community, and either a determination of financial and functional eligibility for LTSS or assistance with assessment processes for financial and functional eligibility for LTSS (Welf. & Inst. Code §9121(b)).
- Q. “Options Counseling” means a session with a professional, trained in person-centered options counseling, who provides person-centered supports for a consumer seeking LTSS.
- R. “Outreach” means activities that identify and reach target populations with information about the local ADRC and its services.
- S. “Person-Centered Practices” include both person-centered thinking and person-centered planning while incorporating a philosophy that strives to ensure a consumer remains in charge of his/her own decisions regarding LTSS.
- T. “Service Area” means one or more counties or major portions of a geographical area that is serviced by the local ADRC partnership.
- U. “Short-Term Service Coordination” means a personalized service coordination for the purpose of stabilizing a situation for consumers whose health, safety and

ARTICLE I. PROGRAM DEFINITIONS (Continued)

welfare are at risk and will likely experience an emergency or be unnecessarily admitted to a nursing facility, hospital or other institution.

- V. “Transition Services” means professional or peer coordination and facilitation services necessary to support a consumer’s right to move from a health care facility and receive LTSS in a community-based setting.

ARTICLE II. SCOPE OF WORK FOR ALL ADRCs

The Contractor, in consultation with the ADRC Core Partners, shall:

- A. Maintain ADRC status and be in good standing with the CDA by meeting the requirements in the California Welfare and Institutions Code sections 9120-9122, and the California ADRC Designation Criteria.
- B. Participate in training and technical assistance provided by CDA and other State and local-level partners, as required by CDA.
- C. Identify Contractor’s primary contact person via CDA 7066 who shall stay informed of policy/procedural changes related to this Agreement and communicate relevant changes to ADRC Core and Extended Partners.
- D. As required by CDA, provide a completed CDA 7066 (Local ADRC Contacts form submitted to ADRC@aging.ca.gov). Also, submit a list of authorized user names and email addresses, who are allowed access to the Local Finance Reporting System (LoFRS) to finance@aging.ca.gov. If the list of authorized users or ADRC contacts change, the Contractor’s primary contact person shall notify ADRC@aging.ca.gov and finance@aging.ca.gov within 30 calendar days.
- E. Comply with the following reporting requirements:
 - 1. As required by CDA, submit an ADRC Infrastructure Grants Program Budget (CDA 239) 30 days after release of contract. Budget must provide sufficient justification to support the budget and comprehensively describe how ADRC Infrastructure Grants Program funding shall be used to meet the purposes as identified in the California Welfare and Institutions Code sections 9120-9122 and the California ADRC Designation Criteria.
 - 2. Submit monthly expenditure reports and requests for reimbursement as specified in Exhibit B.

ARTICLE II. SCOPE OF WORK FOR ALL ADRCs (Continued)

3. Submit an original Annual ADRC Plan (CDA 7039) to ADRC@aging.ca.gov by April 30 for the fiscal year July 1 – June 30. In addition, submit an updated/revised Annual ADRC Plan by July 31 after completion of the fiscal year to reflect accomplishments from the grant. CDA shall provide technical instructions under separate cover.
 4. Submit a Closeout Report as specified in Exhibit B.
 5. Explain and/or correct questionable financial and service report data in Contractor's submission to CDA.
- F. Develop a "No Wrong Door" system as defined in the Welf. & Inst. Code §9121(b) in the area served by the ADRC.
- G. Provide navigation to long-term services and supports by establishing and implementing the following four ADRC service functions in the areas served by the ADRC.
1. Enhanced information and referral services and other assistance at hours that are convenient for the public.
 2. Options counseling to consumers concerning available LTSS programs and public and private benefits programs.
 3. Short-term service coordination.
 4. Transition services from hospitals to home and from skilled nursing facilities to the community.
- H. Identify ADRC partner organizations on ADRC Core Partner website(s), walk-in office locations, and printed and electronic materials, as Contractor deems reasonable and appropriate.

ARTICLE III. SCOPE OF WORK ITEMS SPECIFIC TO DESIGNATED ADRCs

- A. Comply with the following reporting requirements:
1. Submit the [ADRC Service Data Report Form \(CDA 7029\)](#) to ADRC@aging.ca.gov following the [ADRC Service Data Report Instructions \(CDA 7029i\)](#) by January 31, April 30, July 31 and October 31. ADRC data reports shall adhere to the [ADRC Service Data Report Instructions \(CDA 7029i\)](#).

ARTICLE III. SCOPE OF WORK ITEMS SPECIFIC TO DESIGNATED ADRCs
(Continued)

2. Submit a minimum of 50 Consumer Experience Survey responses from the fiscal year to ADRC@aging.ca.gov by July 31. CDA shall provide additional technical instructions under separate cover.

ARTICLE IV. SCOPE OF WORK ITEMS SPECIFIC TO EMERGING ADRCs

- A. Comply with the following reporting requirements:
 1. Submit an initial Work Plan by July 31 once granted Emerging status. Thereafter, submit accurate quarterly ADRC Work Plan updates to ADRC@aging.ca.gov by July 31, October 31, January 31, and April 30. CDA shall provide additional technical instructions under separate cover.

ARTICLE I. FUNDS

A. Expenditure of Funds

1. The Contractor shall expend all funds received hereunder in accordance with this Agreement.
2. Any reimbursement for authorized travel and per diem shall be at rates not to exceed those amounts paid by the State in accordance with the California Department of Human Resources' (CalHR) rules and regulations.

In State: Mileage/Per Diem (meals and incidentals)/Lodging

<https://www.calhr.ca.gov/employees/pages/travel-reimbursements.aspx>

Out of State: <https://hrmanual.calhr.ca.gov/Home/ManualItem/1/2201>

This section is not to be construed as limiting the Contractor from paying any differences between the CalHR' rates and any rates that the Contractor is obligated to pay under other contractual agreements by using funds from other non-CDA sources.

No travel outside the state of California shall be reimbursed unless prior written authorization is obtained from the State [SCM 3.17.2.A(4)]. CDA must approve in writing any out-of-state travel requests.

CDA reserves the right to refuse payment to the Contractor or disallow costs for any expenditure out of compliance with this Agreement. This includes, but is not limited to, unrelated or inappropriate contractual activities, expenditures with inadequate supporting documentation, or where prior approval was required, but was either not requested or not granted.

B. Accountability for Funds

1. The Contractor shall maintain accounting records for funds received under the terms and conditions of this Agreement for a minimum of seven (7) years from the day that the grant ends. These records shall be separate from those for any other funds administered by the Contractor and shall be maintained in accordance with Generally Accepted Accounting Principles and Procedures, the Office of Management and Budget's (OMB) Uniform Administrative Requirements, and Cost Principles.
2. Financial Management Systems

The Contractor's financial management system shall provide for:

- a. Financial Reporting—accurate, current, and complete disclosure of the financial results of each activity and item described in a budget,

- b. Accounting Records—accurate records that identify adequately the source and application of funds for all budgeted activities and items,
- c. Complete Disclosure—written procedures for determining the reasonableness, allocation, and allowance of costs in accordance with the provisions of the applicable cost principles and this Agreement's terms and conditions
- d. Source Documentation—accounting records, which include cost accounting records, that are supported by source documentation

C. Unexpended Funds

Upon termination, cancellation, or expiration of this Agreement, or dissolution of the entity, the Contractor shall return to the State immediately upon written demand, any funds provided under this Agreement, which are not payable for goods or services delivered prior to the termination, cancellation, or expiration of this Agreement, or the dissolution of the entity.

D. Funding Contingencies

1. It is understood between the parties that this Agreement may have been written before ascertaining the availability or appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays that would occur if this Agreement were executed after that determination was made.
2. This Agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government or the Budget Acts of the appropriate fiscal years for purposes of this program. In addition, this Agreement is subject to any additional restrictions, limitations, or conditions enacted by Congress or the Legislature that may affect the provisions, terms, or funding of this Agreement in any manner.

3. Limitation of State Liability

Payment for performance by the Contractor shall be dependent upon the availability of future appropriations by the Legislature or Congress for the purposes of this Agreement and approval of an itemized budget. No legal liability on the part of the State for any payment may arise under this Agreement until funds are made available, the itemized budget is received and approved by the State, and the Contractor has received an executed contract.

4. Funding Reduction(s)

- a. If funding for any State fiscal year is reduced or deleted by the Department of Finance, Legislature, or Congress for the purposes of this program outlined in this Agreement, the State shall have the option to either:
 - i. Terminate the Agreement pursuant, or
 - ii. Offer an amendment to the Contractor to reflect the reduced funding for this Agreement.
- b. In the event the State elects to offer an amendment, it shall be mutually understood by both parties that:
 - i. The State reserves the right to determine which agreements, if any, under this program shall be reduced.
 - ii. Some agreements may be reduced by a greater amount than others, and
 - iii. The State shall determine at its sole discretion the amount that any or all of the agreements shall be reduced for the applicable fiscal year(s).

E. Interest Earned

1. Interest earned on advanced payments that is deposited in interest-bearing accounts must be remitted annually to CDA. Interest amounts up to \$500 per year may be retained by the Contractor and subcontractor(s) for administrative expenses.
2. Interest earned on advances of funds shall be identified as non-match cash.
3. The Contractor must maintain advanced payments in interest-bearing accounts, unless the following apply:
 - a. The Contractor receives less than \$120,000 in federal or State awards per year.
 - b. The best reasonably available interest-bearing account would not be expected to earn interest in excess of \$500 per year on federal or State cash balances.

Exhibit B – Budget Details, Payment Provisions, and Closeout Report

- c. The depository would require an average or minimal balance so high that it would not be feasible within the expected federal and non-federal cash resources.
- d. A foreign government or banking system prohibits or precludes interest-bearing accounts.

ARTICLE II. BUDGET AND BUDGET REVISION

- A. The Contractor shall be reimbursed only for expenses itemized in the approved ADRC Infrastructure Grant budget (with the exception of budget transfers between cost classifications as noted in this Exhibit) and shall not be entitled to payment for these expenses until this Agreement is approved and executed by CDA. The approved budget is hereby incorporated by reference into this Agreement as a part of Exhibit B.
- B. The ADRC Infrastructure Grants Program's Budget (CDA 239) must set forth in detail the reimbursable services or products, unit rates, and extended total amounts for each line item. The Contractor's budget shall include, at a minimum, the following items when reimbursable under this Agreement:
 - 1. Personnel — annual salary and personnel classifications together with the percentage of time to be charged (FTE), specified for each funding source.
 - a. Charges for salaries and wages must be based on records that accurately reflect the work performed. These records must:
 - i. Be supported by a system of internal control, which provides reasonable assurance that the charges are accurate, allowable, and properly allocated.
 - ii. Be incorporated into the official records of the non-federal entity.
 - iii. Reasonably reflect the total activity for which the employee is compensated by the non-federal entity, not exceeding 100% of compensated activities.
 - iv. Support the distribution of the employee's salary among specific activities or cost objectives if the employee works on more than one federal award; a federal award and a non-federal award, an indirect cost activity and a direct cost activity; two or more indirect activities that are allocated using different allocation bases; or an unallowable activity and a direct or indirect cost activity.

Exhibit B – Budget Details, Payment Provisions, and Closeout Report

- v. Budget estimates (i.e., estimates determined before the services are performed) alone do not qualify as support for changes to federal or State awards.
2. Fringe Benefits -- specified for each funding source.
 3. Staff Travel -- mileage reimbursement, lodging, per diem, and other travel costs, specified for each funding source.
 4. Staff Training -- attendance cost for necessary training, specified for each funding source.
 5. Property/Equipment -- detailed descriptions and unit costs, specified for each funding source.
 6. Supplies -- to include items that do not qualify as property, specified for each funding source.
 7. Other -- other ordinary and necessary costs, specified for each funding source.
 8. Subcontracted Services -- costs for subcontracted recipients, specified for each funding source.
 9. Indirect Costs -- costs incurred for a common or joint purpose benefiting more than one cost objective and not readily assignable as a direct cost.
- C. The Contractor shall ensure that the Subcontractor shall submit a budget, which shall be incorporated by reference into the subcontract and will have, at a minimum, the categories listed in Section B above. Contractor shall ensure that any subcontracts arising out of this Agreement contain all provisions necessary to ensure adequate substantiation and controls of the expenditure of funds. Contractor may achieve this through detailed invoices. It shall be the Contractor's responsibility to substantiate its expenses and each subcontracted cost.
- D. Unless otherwise specified by CDA, budget revisions must be submitted no later than 90 days prior to the ending date of the Agreement. Budget revisions submitted after this date are subject to rejection and may result in disallowed costs.
- E. Budget processes and technical instructions shall be issued to the Contractor under separate cover.
- F. Indirect Costs
1. Indirect Costs are defined as expenses (e.g., security, rent, insurance, utilities, telephonic services, etc.) incurred for a common or joint purpose

Exhibit B – Budget Details, Payment Provisions, and Closeout Report

benefiting more than one cost objective and, therefore, not readily assignable to the specifically benefited cost objectives (i.e., department, function, program).

2. The maximum reimbursement allowable for total indirect costs (includes “administrative indirect costs” plus “programmatic indirect costs”) is 20% [or a federally negotiated indirect cost rate, if applicable] of the Contractor’s modified total direct cost (MTDC), excluding subcontracted costs.
3. The maximum reimbursement allowable for a subcontractor’s total indirect cost (includes “administrative indirect costs” plus “programmatic indirect costs”) is 20% [or a federally negotiated indirect cost rate, if applicable] of a subcontractor’s modified total direct cost (MTDC).
4. Modified Total Direct Cost (MTDC) means all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$50,000 of each subaward (regardless of the period of performance of the subawards under the award). MTDC excludes in-kind contributions, equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$50,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs
5. Contractors requesting reimbursement for indirect costs shall retain on file an approved indirect cost rate or an allocation plan and itemized receipts, documenting the methodology used to determine the indirect costs.
6. For major Institutes of higher education and major nonprofit organizations, indirect costs must be classified within two broad categories: “Facilities” and “Administration”. “Facilities” is defined as depreciation on buildings, equipment, and capital improvement; interest on debt associated with certain buildings, equipment and capital improvements; and operations and maintenance expenses. “Administration” is defined as general administration and general expenses such as the director’s office, accounting, personnel, and all other types of expenditures not listed specifically under one of the subcategories of “Facilities” (including cross allocations from other pools, where applicable).

ARTICLE III. PROGRAM SPECIFIC FUNDS

A. Program Income

No Program Income is required under the terms and conditions of this Agreement.

B. One-Time-Only (OTO) Funds

No “One-Time-Only” funding is associated with the terms and the conditions of this Agreement.

C. Matching Contributions

No match is required under the terms and the conditions of this Agreement. ADRC funds cannot be used as a matching contribution for any other type of funding.

D. Administration

The Contractor’s administration shall be no more than 20% of the total allocation.

E. Equipment

Equipment/Property with a unit cost of at least \$5,000 or any computing device (regardless of cost) requires justification from the Contractor, approval from CDA, and inclusion in the Contractor’s approved budget.

The Contractor shall be reimbursed only for expenses itemized in the approved ADRC Infrastructure Grants Program’s Budget and “Budget Display” with the exception of budget transfers between cost categories as noted in this Exhibit. The approved ADRC Infrastructure Grants Program’s Budget and “Budget Display” are hereby incorporated by reference.

ARTICLE IV. BUDGET AND BUDGET REVISION

- A. A budget or budget revision must be prepared and agreed upon by all ADRC Core Partners, and shall be emailed to finance@aging.ca.gov along with a form that contains all ADRC Core Partners’ signatures to demonstrate their mutual agreement.
- B. The original ADRC Infrastructure Grants Program’s Budget (CDA 239) is due electronically to the Local Finance Section no later than 30 calendar days from the date of the transmission of the “Budget Display” and Agreement—unless otherwise instructed by CDA.
- C. The Contractor shall electronically submit the ADRC Infrastructure Grant Program’s Budget (CDA 239) and budget revisions to finance@aging.ca.gov.
- D. Funds made available under this Agreement shall supplement, and not supplant, existing federal, State, local, or private funds allocated to conduct the same or similar work.

Exhibit B – Budget Details, Payment Provisions, and Closeout Report

- E. Contractor's administrative costs are limited to 20% of its total grant's allocation and should be reported as "administration" in the budget—or as directed by CDA. A subcontractor's administrative costs are limited to 20% of its total contracted amount as awarded by the Contractor. Administrative costs are the financial costs characterized by the following types of activities:
1. Dollar value of salaries/wages and benefits associated with staff time dedicated towards the administration of ADRC (i.e., human resources, accounting services, etc.).
 2. Cost of training for performing ADRC-related administrative functions such as record keeping and accounting, etc.
 3. Cost of compiling and reporting ADRC data to CDA.
 4. Administrative indirect costs as defined in Article II of this Exhibit.
- F. The Contractor shall electronically submit budget revisions within 30 calendar days after receiving any future amended ADRC Infrastructure Grants Program's "Budget Displays" with changes in funding levels—unless otherwise instructed by CDA.
- G. Budget Transfers Between Cost Classifications

The Contractor may transfer this Agreement's funds between cost classifications (i.e., "Administration," "Program," and "Contracted Services") under the following terms and conditions:

1. The Contractor shall submit a revised budget to CDA for approval of budget transfers between cost classifications of funds that exceed \$19,999. Transfers between cost classifications can only occur during the same fiscal year.
2. The Contractor shall maintain a written record of all budget transfers and clearly document budget transfers between cost classifications. The record shall include the date of the transference, the amount, and the purpose. This record shall be available to CDA upon request and maintained in the same manner as all other financial records.

ARTICLE V. PAYMENTS

The State shall reimburse the Contractor with funding that has been appropriated, designated, encumbered, or otherwise made available for payment by the State under this Agreement. The following applies to all funding within this Agreement:

- A. Payments will be made to reimburse reported expenditures unless Contractor pre-selects "Advance" as a payment method on CDA 239 at the time of the budget's

Exhibit B – Budget Details, Payment Provisions, and Closeout Report

submission. The availability of advances is subject to change on an annual basis and should not be a relied upon method of payment for future planning. Requests for reimbursement and advances shall be processed monthly by CDA.

- B. The Contractor shall submit a monthly expenditure report [reporting costs and funding for the prior month] in an electronic format by utilizing the CDA's Local Finance Reporting System (LoFRS) no later than the last business day of each month—unless otherwise specified by CDA.
- C. The Contractor must be current on all reporting requirements, and Core Partners must maintain a “Designated” or “Emerging” status as authorized by the State.
- D. Expenditure reports shall be reviewed and processed based on actual expenditures for allowable activities. CDA shall notify the Contractor of any reported expenditure that may be denied.
- E. The Contractor shall timely submit expenditure reports to ensure payments are issued on time. Late expenditure reports may lead to a delay in or a disallowance of payment.
- F. The Contractor shall be charged \$75 for expedited payments to recover the fees charged by the State Controller's Office. CDA may waive the fees on a case-by-case basis as appropriate.
 - 1. Expedited Fees
 - a. If the Agreement is executed late to no fault of CDA, the Contractor may be liable for the incurred processing fees.
 - b. If the Agreement is executed late due to CDA's handling, CDA shall cover the incurred processing fees.
- G. The Contractor shall ensure, to the extent feasible, that all budgeted funds are expended by the expiration of this Agreement.
- H. The Contractor shall, upon request by CDA, provide additional documentation or justification to support each financial report and reported expenditure.
- I. After reconciliation of requests for reimbursements, all unexpended funds for that funding period shall be disencumbered. Unspent advanced funds shall be collected after CDA approves the Closeout Report. All other terms of this Agreement, including policies and regulations, remain in effect.

ARTICLE VI. CLOSEOUT REPORT

- A. The Contractor shall submit an expenditure report in LoFRS for each month of each

Exhibit B – Budget Details, Payment Provisions, and Closeout Report

fiscal year. The ADRC Infrastructure Grants Program's Closeout Report shall be submitted annually to the CDA's Local Finance Section. All ADRCs are required to submit Closeout Reports as instructed by CDA.

- B. Final expenditures must be reported to CDA in alignment with the "Budget Display" in Exhibit B. If the total amount of allowable expenditures reported within the Closeout Report by the Contractor exceeds the disbursed amount, CDA will reimburse the difference to the Contractor (up to the Agreement's amount). If the allowable expenditures reported within the Closeout Report by the Contractor are less than the advanced amount, CDA will invoice the Contractor for the unspent funds.

The payment on an invoice is due immediately upon receipt or no later than 30 days from the date on the invoice.

ARTICLE VII. DEFAULT PROVISIONS

The State, notwithstanding other provisions of this Agreement, may upon written notice to the Contractor, withhold further payments upon occurrence of any one of the following events:

- A. Termination or suspension of this Agreement.
- B. Contractor and/or CDA's action that results in the loss of Contractor's ADRC-status.
- C. Non-compliance with the State's contractual terms, conditions, and reporting requirements.
- D. A finding by the State that the Contractor:
 - 1. Failed to comply with any material provisions of this Agreement or
 - 2. Failed to make progress, or is in such unsatisfactory financial condition, as to endanger performance of this Agreement or
 - 3. Is delinquent in payment of taxes in the ordinary course of business.
- E. Appointment of a trustee, a receiver, or a liquidator for all or a substantial part of the Contractor's property, or institution of bankruptcy, reorganization, or arrangement of liquidation proceedings by or against the Contractor.
- F. Service of any writ of attachment, levy, or execution, or commencement of garnishment proceeding.
- G. The commission of an act of bankruptcy.

ARTICLE I. DEFINITIONS AND RESOLUTIONS OF LANGUAGE CONFLICTS

A. General Definitions

1. “Agreement” or “Contract” means the Standard Agreement (Std. 213), Exhibits A, B, C, and D, an approved Budget Display as identified in Exhibit B, and if applicable, a budget, which is hereby incorporated by reference, amendments, and any other documents incorporated by reference, unless otherwise provided for in this Article.
2. “UEI” means the Unique Entity ID - a 12-character alphanumeric ID assigned to an entity by SAM.gov on April 4, 2022. As part of this transition, the DUNS number has been removed from SAM.gov and entity registration, searching, and data entry in SAM.gov now require use of the new Unique Entity ID.
3. “Reimbursable item” also means “allowable cost” and “compensable item.”
4. “State” and “Department” mean the State of California and the California Department of Aging (CDA) interchangeably.
5. “Subcontractors” means the legal entity that has a formal agreement for receiving funds from the Contractor to carry out allowable program cost activities approved under this Agreement. A Subcontractor shall be responsible for assisting the Contractor in meeting the goals of the Agreement.
6. “Subcontract” means a legal agreement between the Contractor and Subcontractors(s) for carrying out allowable program cost activities and/or vendor(s) for providing goods or services under this Agreement.
7. “Vendor” means an entity which provides similar goods and services to multiple customers as part of their routine business operations during the term of this Agreement.

B. Resolution of Language Conflicts

The terms and conditions of this State grant award and other requirements have the following order of precedence, if there is any conflict in what they require:

1. California Welfare and Institutions Code Sections 9120-9122
2. Standard Agreement (Std. 213), all Exhibits and any amendments thereto.

3. California ADRC Designation Criteria located on CDA’s website.
4. Other Program Memos and guidance issued by CDA.

ARTICLE II. ASSURANCES

A. Law, Policy and Procedure

The Contractor shall require subcontractors to adhere to this Agreement and all applicable local, State, and federal laws and regulations related to this Agreement. The Contractor shall resolve all issues using standard administrative practices and sound judgment.

B. Licenses, and Certificates

The Contractor and its subcontractors shall keep in effect all licenses, permits, notices, and certificates that were necessary to execute this Agreement and that are required by law.

C. Nondiscrimination

The Contractor shall comply with all federal statutes relating to nondiscrimination. These include those statutes and laws contained in the Contractor Certification Clauses (CCC 4/2017), which is hereby incorporated by reference. In addition, the Contractor shall comply with the following:

1. Equal Access to State-Funded Benefits, Programs and Activities

The Contractor shall, unless exempted, ensure compliance with the requirements of Cal. Gov. Code § 11135 et seq., and 2 CCR § 11140 et seq., which prohibit recipients of state financial assistance from discriminating against persons based on race, national origin, ethnic group identification, religion, age, sex, sexual orientation, color, or disability. [22 CCR § 98323]

2. California Civil Rights Laws

The Contractor shall, ensure compliance with the requirements of California Public Contract Code § 2010 by submitting a completed California Civil Rights Laws Certification, prior to execution of this Agreement. The certificate is available at: <http://www.dgs.ca.gov/ols/Forms.aspx>.

The California Civil Rights Laws Certification ensures Contractor compliance with the Unruh Civil Rights Act (Cal. Civ. Code § 51) and the Fair Employment and Housing Act (Cal. Gov. Code § 12960), and ensures that Contractor’s internal policies are not used in violation of California Civil

Rights Laws.

3. The Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. [42 USC 12101 et seq.]
4. The Contractor shall include all nondiscrimination compliance requirements listed in Section C above in its subcontracts that provide services pursuant to this Agreement.

D. Standards of Work

The Contractor agrees that the performance of work and services pursuant to the requirements of this Agreement shall conform to accepted professional standards.

E. Conflict of Interest

1. The Contractor shall prevent employees, consultants, or members of governing bodies from using their positions for purposes including, but not limited to, the selection of subcontractors, that are, or give the appearance of being, motivated by a desire for private gain for themselves or others, such as family, business, or other ties. In the event that the State determines that a conflict of interest exists, any increase in costs associated with the conflict of interest may be disallowed by the State and such conflict may constitute grounds for termination of the Agreement.
2. This provision shall not be construed to prohibit employment of persons with whom the Contractor's officers, agents, or employees have family, business, or other ties, so long as the employment of such persons does not result in a conflict of interest (real or apparent) or increased costs over those associated with the employment of any other equally qualified applicant, and such persons have successfully competed for employment with the other applicants on a merit basis.

F. Covenant Against Contingent Fees

1. The Contractor warrants that no person or selling agency has been employed or retained to solicit this Agreement. There has been no agreement to make commission payments in order to obtain this Agreement.

2. For breach or violation of this warranty, CDA shall have the right to terminate this Agreement without liability or at its discretion to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such commission, percentage, brokerage, or contingency fee.

G. Payroll Taxes and Deductions

The Contractor shall promptly forward payroll taxes, insurances, and contributions, including State Disability Insurance, Unemployment Insurance, Old Age Survivors Disability Insurance, and federal and State income taxes withheld, to designated governmental agencies as required by law.

H. Debarment, Suspension, and Other Responsibility Matters

1. The Contractor certifies to the best of its knowledge and belief, that it and its subcontractors:
 - a. Are not presently debarred, suspended, proposed for debarment, declare ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
 - b. Have not, within a three-year period preceding this Agreement, been convicted of, or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, State, or local) transaction or contract under a public transaction; violation of federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.
 - c. Are not presently indicted for, or otherwise criminally or civilly charged by a governmental entity (federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification.
 - d. Have not, within a three-year period preceding this Agreement, had one or more public transactions (federal, State, or local) terminated for cause or default.
2. The Contractor shall immediately report to CDA in writing, any incidents of alleged fraud, waste, and/or abuse by either the Contractor or its subcontractors.

3. The Contractor shall maintain any records, documents, or other evidence of fraud and abuse for a minimum of seven (7) years.
4. The Contractor agrees to timely execute any and all amendments to this Agreement or other required documentation relating to the Subcontractors' debarment/suspension status.

I. Agreement Authorization

1. If the Contractor is a public entity, it shall submit to CDA a copy of an approved resolution, order, or motion referencing this Agreement number authorizing execution of this Agreement. If the Contractor is a private nonprofit entity, it shall submit to CDA an authorization by the Board of Directors to execute this Agreement, referencing this Agreement number.
2. The meeting minutes related to documents referenced in this Section must also identify the action taken.
3. Documentation in the form of a resolution, order, or motion by the Governing Board of the Contractor is required for the original and each subsequent amendment to this Agreement. This requirement may also be met by a single resolution from the Governing Board of the Contractor authorizing the Contractor's Director or designee to execute the original and all subsequent amendments to this Agreement.

J. Contractor's Staff

1. The Contractor shall maintain adequate staff to meet the Contractor's obligations under this Agreement.
2. This staff shall be available to the State for training and meetings which the State may find necessary from time to time.

K. UEI Number and Related Information

1. The Unique Entity Identifier (UEI) changed from the DUNS Number to the UEI (generated by SAM.gov) on April 4, 2022. The UEI number must be provided to the CDA prior to the execution of this Agreement. Business entities may register for a UEI number at <http://sam.gov/content/duns-uei>.
2. The Contractor must register the UEI number and maintain an "Active" status within the federal System for Award Management available online at <https://www.sam.gov/portal/SAM/#1>.

3. If CDA cannot access or verify “Active” status the Contractor’s UEI information, which is related to this federal subaward on the Federal Funding Accountability and Transparency Act Subaward Reporting System (SAM.gov) due to errors in the Contractor’s data entry for its UEI number, the Contractor must immediately update the information as required.

L. Corporate Status

1. The Contractor shall be a public entity, private nonprofit entity, or Joint Powers Authority (JPA). If a private nonprofit corporation or JPA, the Contractor shall be in good standing with the Secretary of State of California and shall maintain that status throughout the term of this Agreement.
2. The Contractor shall ensure that any subcontractors providing services under this Agreement shall be of sound financial status.
3. Any subcontracting private entity or JPA shall be in good standing with the Secretary of State of California and shall maintain that status throughout the term of this Agreement.

Failure to maintain good standing by the Contractor shall result in suspension or termination of this Agreement with CDA until satisfactory status is restored. Failure to maintain good standing by a subcontracting entity shall result in suspension or termination of the subcontract by the Contractor until satisfactory status is restored.

M. Lobbying Certification

The Contractor, by signing this Agreement, hereby certifies to the best of its knowledge and belief, that:

1. No State appropriated funds have been paid or will be paid, by or on behalf of the Contractor, to any person for influencing or attempting to influence an officer or employee of any agency; a Member of State Legislature; an officer or employee of State Legislature; or an employee of a Member of State Legislature; in connection with the awarding of any State contract; the making of any State grant; the making of any State loan; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment, or modification of any State contract, grant, loan, or cooperative agreement.
2. The Contractor shall require that the language of this certification be included in the award documents for all subcontracts at all tiers (including contracts under grants, loans, and cooperative agreements which

exceed \$100,000) and that all subcontractors shall certify and disclose accordingly.

- N. In 2011, Governor Jerry Brown issued an executive memo that has banned state agencies and departments from spending taxpayer money on promotional items, which he named “S.W.A.G”. S.W.A.G. stands for “Stuff We All Get”. The executive memo has not been overturned and is still in effect; accordingly, all entities that receive state funds must adhere to the executive memo.

ARTICLE III. AGREEMENT

A copy of this executed Agreement is on file and available for inspection at the California Department of Aging, 2880 Gateway Oaks Drive, Suite 200, Sacramento, California 95833.

ARTICLE IV. COMMENCEMENT OF WORK

Should the Contractor or subcontractors begin work in advance of receiving notice that this Agreement is approved, that work may be considered as having been performed at risk as a volunteer and may not be reimbursed or compensated.

ARTICLE V. SUBCONTRACTS

- A. Contractor may enter into subcontracts to carry out allowable program cost activities described in this Contract and as identified in the California Welfare and Institutions Code sections 9120-9122, the State Budget Act, and the California ADRC Designation Criteria.
- B. The Contractor shall monitor subcontractors’ ADRC Infrastructure Grants Program budgets, expenditures, and any subsequent amendments and revisions to all budgets, annual ADRC plan, and other program-related submissions. Furthermore, the Contractor shall, monitor on an ongoing basis, the subcontractors’ use of grant funds through reporting, site visits, regular contact, or other means to assure the subcontractors administer services in compliance with applicable laws, regulations, and the provisions of this Agreement.
- C. The Contractor shall be responsible for carrying out the terms of this Agreement, including the satisfaction, settlement, and resolution of all administrative, programmatic, and fiscal aspects of the program(s), including issues that arise out of any subcontracts, and shall not delegate or contract these responsibilities to any other entity. This includes, but is not limited to, disputes, claims, protests of award, or other matters of a contractual nature. The Contractor’s decision shall be final and the subcontractors has no right of appeal to CDA.

- D. The Contractor shall not obligate funds for this Agreement in any subcontracts for services beyond the ending date of this Agreement.
- E. The Contractor shall have no authority to contract for, or on behalf of, or incur obligations on behalf of the State.
- F. The Contractor shall maintain on file copies of subcontracts, and Memoranda and/or Letters of Understanding which shall be made available for review at the request of CDA.
- G. The Contractor shall monitor the insurance requirements of its subcontractors in accordance with Article IX of this Exhibit.
- H. The Contractor shall require language in all subcontracts that require all subcontractors to indemnify, defend, and save harmless CDA, the Contractor, its officers, agents, and employees from any and all claims and losses accruing to or resulting from any subcontractors, suppliers, laborers, and any other person, firm, or corporation furnishing or supplying work services, materials, or supplies in connection with any activities performed for which funds from this Agreement were used and from any and all claims and losses accruing or resulting to any person, firm, or corporation who may be injured or damaged by the Subcontractors(s) in the performance of this Agreement.
- I. The Contractor shall seek prior approval through the budget and/or budget revisions for subcontracting with Subcontractors. The Contractor must provide the necessary information, as specified by CDA, to evaluate the necessity or desirability of incurring such costs.
- J. The Contractor shall require the subcontractors to complete reporting and expenditure documents requested by CDA. These reporting and expenditure documents should be sent to the Contractor in a timely manner and at intervals determined by CDA.
- K. The Contractor shall obtain goods and services through open and competitive awards. Each Contractor shall have written policies and procedures, including application forms, for conducting an open and competitive process, and any protests resulting from the process.
- L. Non-Competitive Bid Justification
 - 1. Contractor may award a contract to a single bidder if a service or product is of a unique nature, is in response to a public exigency or emergency, or more than one vendor/provider cannot reasonably be identified.

2. Contractor shall retain adequate justification for the absence of competitive bidding. “Adequate justification” must include but is not limited to: (a) explanation of why the acquisition of goods or services is limited to one vendor or supplier; (b) description of sole vendor/supplier’s unique qualifications to provide the goods or services in question; and (c) analysis of cost(s) to demonstrate reasonableness.
 3. Contractor shall be exempt from the competitive bid process when all of the following conditions exist (a) The Contractor is a governmental entity, (b) the prospective subcontractor is a government entity and (c) the goods or services to be procured from the intergovernmental contract will result in efficiency and economy. The Contractor shall maintain documentation of the analysis supporting the justification that the above conditions have been met.
 4. ADRC Core Partners are deemed approved by state statute to develop an ADRC Program, therefore the Contractor may subcontract directly with their AAA or ILC partners without competitive bidding.
- M. The Contractor shall require all subcontractors to maintain adequate staff to meet the Agreement with the Contractor. This staff shall be available to the State for training and meetings which the State may find necessary from time to time.
- N. Nothing contained in this Agreement shall create any contractual relationship between CDA and any subcontractors, and no subcontract shall relieve the Contractor of their responsibilities and obligations hereunder. Contractor shall be liable for any acts and omissions of their subcontractors or of persons either directly or indirectly employed by subcontractors in violation of this Agreement. Contractor’s obligation to pay subcontractors(s) is independent from CDA’s obligation to make payments to the Contractor. As a result, CDA shall have no obligation to pay or enforce payment of any moneys to any subcontractors.
- O. In the event CDA suspends, terminates, and/or makes changes to the services to be performed under this Agreement, Contractor shall notify all of its subcontractors in writing within five working days of receipt of notice of such an action.

ARTICLE VI. EQUIPMENT AND PROCUREMENT

- A. Equipment is defined as a tangible, nonexpendable personal property having a useful life of at least one (1) year and an acquisition cost of at least \$5,000 a desktop or laptop setup is considered a unit, if purchased as a unit). Equipment records must be maintained that include the description of the equipment, the

serial number or other identification number, the source of equipment, the titleholder, the acquisition date, the cost of equipment, the location, and condition of the equipment, and any ultimate disposition data including the date of disposal and sale price of the equipment. The State reserves the right to inspect, review and/or audit equipment records.

- B. Title to all major equipment purchased under the terms of this grant, shall vest with the Contractor until such time as grantee disposes of the equipment or is otherwise relieved by the State from accountability for such equipment.
- C. Bid Requirements: Contractor is required to use formal advertisement competitive bidding process for equipment.
- D. Disposition of Equipment: Grantees are required to contact CDA for disposition instructions of equipment. Under no circumstances shall equipment purchased under the terms of this grant be disposed of in any way without prior written approval from CDA.
- E. Contractor must maintain a copy of their procurement systems guidelines, rules or regulations that will be used to make purchases under this Agreement. The State reserves the right to request a copy of these documents and to inspect the purchasing practices of the Contractor related to equipment purchases arising out of this Agreement.
- F. Equipment/Property with per unit cost over \$5,000 or any computing devices, (regardless of cost) require justification from the Contractor and approval from CDA. To request the purchase of any property or equipment, the Contractor shall submit a CDA 7067 (ADRC Infrastructure Grants Program Request for Equipment form) to ADRC@aging.ca.gov. Equipment must be included in the ADRC Infrastructure Grants budget. Please note an approved budget alone is not approval to purchase equipment or property. The last day to submit a request for equipment or property through the ADRC Infrastructure Grants Program is 30 calendar days before this Agreement ends.30 calendar days before this Agreement ends.
- G. Allowable Advertising Expenses

Only the following advertising expenses are allowable:

1. Costs of advertising media and corollary administrative costs. Advertising media include magazines, newspapers, radio and television, direct mail, exhibits, electronic or computer transmittals, and the like.
2. The recruitment of personnel required for performance of this grant.

3. The procurement of goods and services for the performance of this grant.
 4. Program outreach and other specific purposes necessary to meet the requirements of this grant.
- H. Expenses such as, but not limited to, the following are unallowable expenditures and will NOT be paid under this Agreement:
1. Bad Debts
 2. Lobbying activities
 3. Political or legislative advocacy work
 4. Food/refreshments (including bottled water or water service)
 5. Fundraising
 6. Interest, penalty charges, late fees, or bank fees
 7. Out of state travel, except as approved through the budget and invoicing process
 8. Purchase of equipment, except as approved through the budget and invoicing process
 9. Advertising expenses not stated in the above section.
 10. Contingency fund for reserves
 11. Supplies that do not have a direct application to the approved budget
 12. Consumer wages/subsidies/stipends/incentives
 13. Employee cash bonuses, prizes for contests or loans
 14. Cash assistance to consumers including vendor payments, deposits or fees for services
 15. Entertainment
 16. Expenses which are described as “miscellaneous” or “etc.”
 17. Clothing
 18. Modular equipment/panels
 19. Moving expenses (e.g., mileage, storage, or rental of vehicles)
 20. Staff training that does not have a direct application to the allowable activities and approved budget
 21. Severance pay or COBRA
 22. Memberships and/or dues that do not have direct application to grant services
 23. Commute mileage
 24. Building maintenance (e.g., any type of building repairs, replacement of windows or any improvement of real property except as outlined in item I below.)
 25. Promotional and marketing items colloquially known as “Stuff We All Get.” or “S.W.A.G.”
 26. Assistive Technology (e.g., ramps or wheelchairs) that does not directly provide physical access to an ADRC partnership’s facility as outlined in section I.
 27. Gift cards or gift certificates (physical or electronic)
 28. Raffles

- 29. Social events [meal: (breakfast, brunch, lunch, or dinner)]; souvenirs (e.g., party favors); or rewards for providing services or goods
- 30. In-kind goods, services, or transactions

I. Facility Construction or Repair

ADRC Infrastructure Grants may be used for facility construction or repair.

- 1. When applicable for purposes of construction or repair of facilities, the Contractor shall comply with the provisions contained in the following and shall include such provisions in any applicable agreements with subcontractors:
 - a. Copeland “Anti-Kickback” Act. [18 USC 874, 40 USC 3145] [29 CFR 3]
 - b. Davis-Bacon Act. [40 USC 3141 et seq.] [29 CFR 5]
 - c. Contract Work Hours and Safety Standards Act. [40 USC 3701 et seq.] [29 CFR 5, 6, 7, 8]
 - d. Executive Order 11246 of September 14, 1965, entitled “Equal Employment Opportunity” as amended by Executive Order 11375 of October 13, 1967, as supplemented in Department of Labor Regulations. [41 CFR 60]
- 2. Payments are not permitted for construction, renovation, alteration, improvement, or repair of privately-owned property which would enhance the owner’s value of such property except where permitted by law and by CDA.
- 3. When funding is provided for construction and non-construction activities, the Contractor must obtain prior written approval from CDA before making any fund or budget transfers between construction and non-construction.

ARTICLE VII. MONITORING AND EVALUATION

- A. Authorized State representatives shall have the right to monitor and evaluate the Contractor’s administrative, fiscal and program performance pursuant to this Agreement. This will include policies, procedures, procurement, audits, inspections of project premises, interviews of project staff and participants.
- B. The Contractor shall cooperate with the State in the monitoring and evaluation processes, which include making any administrative, program and fiscal staff available during any scheduled process.
- C. The Contractor shall monitor contracts and subcontracts to ensure compliance with laws, regulations, and the provisions of this Agreement.

ARTICLE VIII. AUDIT REQUIREMENTS

A. General

1. The State shall have the right to conduct inspections, reviews, and/or audits of the Contractor to determine whether the services provided and the expenditures invoiced by the Contractor were in compliance with this grant and other applicable state statutes and regulations.
2. Contractor agrees that CDA, State Controller's Office, Bureau of State Audits, or their designated representatives shall have the right to review and to copy any records and supporting documentation pertaining to the performance of the grant, including but not limited to: accounting records, consumer service records, records and evaluations of consumers referred to the program, and other supporting documentation that may be relevant to the audit or investigation. The Contractor shall include this requirement in its subcontracts.
3. Contractor shall submit to the CDA such reports, accounts, and records deemed necessary by the CDA to discharge its obligation under State laws and regulations.
4. Contractor agrees to allow access to such records, as described in this section, during normal business hours and to allow interviews of any employees who might reasonably have information related to such records.
5. Contractor agrees to maintain grant-related records for possible audit or review for a minimum of seven (7) years from the end of the grant year, or until resolution of all issues which may arise as a result of any litigation, claim, negotiation, audit or any other action involving the records.

ARTICLE IX. INSURANCE

- A. Prior to commencement of any work under this Agreement, the Contractor shall provide, for the term of this Agreement, the following insurance:
1. General liability of not less than \$1,000,000 per occurrence for bodily injury and property damage combined. Higher limits may be required by the State in cases of higher than usual risks.
 2. Automobile liability including non-owned auto liability, of not less than \$1,000,000 for volunteers and paid employees providing services supported by this Agreement.

3. If applicable, or unless otherwise amended by future regulation, the Contractor and subcontractors shall comply with the Public Utilities Commission General Order No. 115-G which requires higher levels of insurance for charter-party carriers of passengers and is based on seating capacity as follows:
 - a. \$750,000 if seating capacity is under 8
 - b. \$1,500,000 if seating capacity is 8 – 15
 - c. \$5,000,000 if seating capacity is over 15
 4. Professional liability of not less than \$1,000,000 as it appropriately relates to the services rendered. Coverage shall include medical malpractice and/or errors and omissions. (All programs except Title V).
- B. The insurance will be obtained from an insurance company acceptable to the Department of General Services, Office of Risk and Insurance Management (DGS, ORIM), or be provided through partial or total self-insurance acceptable to the Department of General Services (DGS).
- C. Evidence of insurance shall be in a form and content acceptable to DGS, ORIM.
- D. The Contractor shall notify the State within five (5) business days of any cancellation, non-renewal, or material change that affects required insurance coverage.
- E. Insurance obtained through commercial carriers shall meet the following requirements:
1. The Certificate of Insurance shall provide the statement: “The Department of Aging, State of California, its officers, agents, employees, and servants are included as additional insureds, with respect to work performed for the State of California under this Agreement.” Professional liability coverage is exempt from this requirement.
 2. CDA shall be named as the certificate holder and CDA’s address must be listed on the certificate.
- F. The insurance provided herein shall be in effect at all times during the term of this Agreement. In the event the insurance coverage expires during the term of this Agreement, the Contractor agrees to provide CDA, at least thirty (30) days prior to the expiration date, a new Certificate of Insurance evidencing insurance coverage as provided herein for a period not less than the remaining Agreement term or for a period not less than one (1)

year. In the event the Contractor fails to keep in effect at all times said insurance coverage, CDA may, in addition to any other remedies it may have, terminate this Agreement.

- G. The Contractor shall require its subcontractors under this Agreement, other than units of local government which are similarly self-insured, to maintain adequate insurance coverage for general liability, Worker’s Compensation liabilities, and if appropriate, auto liability including non-owned auto and professional liability, and further, the Contractor shall require all of its subcontractors to hold the Contractor harmless. The Subcontractors’ Certificate of Insurance for general and auto liability shall also name the Contractor, not the State, as the certificate holder and additional insured. The Contractor shall maintain Certificates of Insurance for all of its subcontractors.
- H. A copy of each appropriate Certificate of Insurance or letter of self-insurance, referencing this Agreement number shall be submitted to CDA with this Agreement.
- I. The Contractor shall be insured against liability for Worker’s Compensation or undertake self-insurance in accordance with the provisions of the Labor Code and the Contractor affirms to comply with such provisions before commencing the performance of the work under this Agreement. [Labor Code § 3700]

ARTICLE X. TERMINATION

A. Termination Without Cause

CDA may terminate performance of work under this Agreement, in whole or in part, without cause, if CDA determines that a termination is in the State’s best interest. CDA may terminate the Agreement upon ninety (90) days written notice to the Contractor. The Notice of Termination shall specify the extent of the termination and shall be effective ninety (90) days from the delivery of the Notice. The parties agree that if the termination of the Contract is due to a reduction or deletion of funding by the Department of Finance (DOF), State Legislature or Governor, the Notice of Termination shall be effective thirty (30) days from the delivery of the Notice. The parties agree that for the terminated portion of the Agreement, the remainder of Agreement shall be deemed to remain in effect and is not void.

B. Termination for Cause

CDA may terminate, in whole or in part, for cause the performance of work under this Agreement. CDA may terminate the Agreement upon thirty (30) days

written notice to the Contractor. The Notice of Termination shall be effective thirty (30) days from the delivery of the Notice of Termination unless the grounds for termination are due to threat to life, health or safety of the public and in that case, the termination shall take effect immediately. The grounds for termination for cause shall include, but are not limited to, the following:

1. In case of threat of life, health or safety of the public, termination of the Agreement shall be effective immediately.
2. A violation of the law or failure to comply with any condition of this Agreement.
3. Inadequate performance or failure to make progress so as to endanger performance of this Agreement.
4. Failure to comply with reporting requirements.
5. Failure to maintain ADRC Status.
6. Evidence that the Contractor is in an unsatisfactory financial condition as determined by an audit of the Contractor or evidence of a financial condition that endangers performance of this Agreement and/or the loss of other funding sources.
7. Delinquency in payment of taxes or payment of costs for performance of this Agreement in the ordinary course of business.
8. Appointment of a trustee, receiver, or liquidator for all or a substantial part of the Contractor's property, or institution of bankruptcy, reorganization or the arrangement of liquidation proceedings by or against the Contractor.
9. Service of any writ of attachment, levy of execution, or commencement of garnishment proceedings against the Contractor's assets or income.
10. The commission of an act of bankruptcy.
11. Finding of debarment or suspension.
12. The Contractor's organizational structure has materially changed.

C. Contractor’s Obligation After Notice of Termination

After receipt of a Notice of Termination, and except as directed by CDA, the Contractor shall immediately proceed with the following obligations, as applicable, regardless of any delay in determining or adjusting any funds due under this clause.

The Contractor shall:

1. Stop work as specified in the Notice of Termination.
2. Place no further subcontracts for materials or services, except as necessary, to complete the continued portion of the Contract.
3. Terminate all subcontracts to the extent they relate to the work terminated.
4. Settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts, (the approval or ratification of which will be final for purposes of this clause).

D. Effective Date

Termination of this Agreement shall take effect immediately in the case of an emergency such as threat to life, health, or safety of the public. The effective date for Termination with Cause or for funding reductions is thirty (30) days and Termination without Cause is ninety (90) days subsequent to written notice to the Contractor. The notice shall describe the action being taken by CDA, the reason for such action and, any conditions of the termination, including the date of termination.

E. Voluntary Termination of Agreement

In the event the Contractor no longer intends to provide services under this Agreement, the Contractor shall give CDA Notice of Intent to Terminate. Such notice shall be given in writing to CDA at least sixty (60) days prior to the proposed termination date. The Notice of Intent to Terminate shall include the reason for such action and the anticipated last day of work.

ARTICLE XI. REMEDIES

The Contractor agrees that any remedy provided in this Agreement is in addition to and not in derogation of any other legal or equitable remedy available to CDA as a result of breach of this Agreement by the Contractor, whether such breach occurs before or after completion of the project.

ARTICLE XII. DISSOLUTION OF ADRC

The Contractor shall notify CDA immediately of any intention to discontinue existence of the ADRC or to bring an action for dissolution. In the event of such dissolution, this Agreement will terminate immediately. No reimbursement requests subsequent to the dissolution shall be approved.

ARTICLE XIII. AMENDMENTS, REVISIONS OR MODIFICATIONS

- A. No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed and approved through the CDA amendment process. No oral understanding or agreement not incorporated in this Agreement is binding on any of the parties.
- B. The State reserves the right to revise, waive, or modify the Agreement to reflect any restrictions, limitations, or conditions enacted by State Legislature or as directed by the Executive Branch of State government.

ARTICLE XIV. NOTICES

- A. Any notice to be given hereunder by either party to the other may be effected by personal delivery in writing or by registered or certified mail, overnight mail, postage prepaid, return receipt requested, provided the Contractor retains receipt, and shall be communicated as of actual receipt.
- B. Any notice given to CDA for the Contractor's change of legal name, main address, or name of the Director shall be submitted to ADRC@aging.ca.gov via CDA 7066 within 30 calendar days of the change.
- C. All other notices with the exception of those identified in Section B of this Article shall be addressed to the ADRC Bureau, California Department of Aging, 2880 Gateway Oaks Drive, Suite 200, Sacramento, California, 95833. Notices mailed to the Contractor shall be to the address indicated on the coversheet of this Agreement.
- D. Either party may change its address by written notice to the other party in accordance with this Article.

ARTICLE XV. DEPARTMENT CONTACT

- A. CDA shall, upon full execution of this Agreement, provide the contact information of a staff person to whom Contractor may request revisions, waivers, or modifications affecting this Agreement, upon full execution of this Agreement.
- B. The Contractor shall submit the name of its Point-of-Contact Representative(s)

(PCR) for this Agreement to ADRC@aging.ca.gov. This notice should include the PCR's phone number, email address, and FAX number.

- C. The Contractor's director or fiscal manager shall appoint a PCR. The PCR receives all documents pertaining to the ADRC Program (e.g., budget, Agreement, amendments, memoranda, etc.). The PCR submits an updated list of authorized users for LoFRS within 30 calendar days of a change to finance@aging.ca.gov. The Contractor's director or fiscal manager shall notify CDA of a change to the PCR within 30 calendar days of the change by emailing ADRC@aging.ca.gov.
- D. Moreover, the PCR shall submit an updated STD 204 within 30 calendar days of a change in name, address, telephone number, contact person, or employer identification number to accounting.la@aging.ca.gov. The PCR submits an updated list of ADRC contacts (i.e., fiscal, program, and contractual) after each quarter to ADRC@aging.ca.gov.
- E. Furthermore, the PCR forwards, if applicable, any ADRC document to each authorized staff member within three (3) business days of its receipt.

ARTICLE XVI. INFORMATION CONFIDENTIALITY AND SECURITY

- A. This Information Confidentiality and Security Requirements section sets forth the information privacy and security requirements the Contractor is obligated to follow with respect to all personal, confidential, and sensitive information (as defined herein) disclosed to the Contractor, or collected, created, maintained, stored, transmitted, or used by the Contractor on behalf of the CDA pursuant to Contractor's Agreement with CDA. (Such personal, confidential, and sensitive information is referred to here as CDA PSCI.) CDA and the Contractor desire to protect their privacy and provide for the security of CDA PSCI in compliance with this section and state and federal laws applicable to CDA PSCI.
- B. The terms of this section shall apply to all contracts, subcontracts, and subawards made by the Contractor for services provided. The Contractor shall require its agents, subcontractors, or independent consultants (collectively, agents) to conform to this section regarding CDA PSCI.
- C. Definitions
 - 1. **Breach:**
 - a. the unauthorized acquisition, access, use, or disclosure of CDA PSCI in a manner in which comprises the security, confidentiality, or integrity of the information; or

- b. the same definition of “breach of the security system” set forth in California Civil Code section 1798.29, subdivision (f); or
 - c. the same as the definition of “breach” set forth in the Health Insurance Portability and Accountability Act Privacy Rule, 45 Code of Federal Regulations 164.402.
2. **Confidential Information:** Information that is exempt from disclosure under the provisions of the California Public Records Act (Government Code section 7920.000 Et seq.).
3. **Disclosure:** the release, transfer, provision of, access to, or divulging in any manner of information outside the entity holding the information.
4. **PSCI:** “personal information”, “sensitive information”, and “confidential information” (as these terms are defined herein).
5. **Personal Information:** Information that identifies or describes an individual, including, but not limited to, their name, social security number, physical description, home address, home telephone number, education, financial matters, and medical or employment history. It is CDA’s policy to consider all information about individuals private unless such information is determined to be a public record. Personal Information also includes the following:
- a. **Notice-Triggering Personal Information:** Specific items of personal information (name plus Social Security number, driver license/California identification card number, or financial account number) that may trigger a requirement to notify individuals if it is acquired by an unauthorized person. For purposes of this provision, identity shall include, but not be limited to name, identifying number, symbol, or other identifying information assigned to the individual, such as finger or voice print or a photograph. See California Civil Code section 1798.29.
 - b. **Protected Health Information (PHI):** The term “PHI” refers to and includes both “PHI” as defined at 45 CFR section 160.103 and Personal Information (PI) as defined in the Information Practices Act at California Civil Code section 1798.3(a). PHI includes information in any form, including paper, oral, and electronic.
6. **Public Information:** Information that is not exempt from disclosure under the provisions of the California Public Records Act (Government Code sections 7920.000 Et seq.).

7. **Security Incident:**

- a. A breach or attempted breach; or
- b. The attempted or successful unauthorized access, disclosure, modification, or destruction of CDA PSCI, in violation of any state or federal law or in a manner not permitted under this section; or the attempted or successful modification or destruction of, or interference with, the Contractor's system operations in an information technology system, that negatively impacts the confidentiality, availability, or integrity of CDA PSCI; or
- c. any event that is reasonably believed to have compromised the confidentiality, integrity, or availability of an information asset, system, process, data storage, or transmission. Furthermore, an information security incident may also include an event that constitutes a violation or imminent threat of violation of information security policies or procedures, including acceptable use policies.

8. **Sensitive Information:** Information that requires special precautions to protect from unauthorized use, access, disclosure, modification, loss, or deletion. Sensitive Information may be either Public Information or Confidential Information. It is information that requires a higher-than-normal assurance of accuracy and completeness. Thus, the key factor for Sensitive Information is that of integrity. Typically, Sensitive Information includes records of agency financial transactions and regulatory actions.

D. Disclosure Restrictions

The Contractor shall protect CDA PSCI from unauthorized disclosure. The Contractor shall not disclose, except as otherwise specifically permitted by this section, any CDA PSCI to anyone other than CDA personnel or programs without prior written authorization from the CDA.

1. The Contractor and CDA mutually agree that the creation, receipt, maintenance, transmittal, and disclosure of data from CDA containing PHI shall be subject to the Health Insurance Portability and Accountability Act of 1996 and its implementing privacy and security regulations at 45 CFR Parts 160 and 164 (collectively and as used in this Agreement, HIPAA.). The Contractor agrees to provide the same, or greater, level of protection to CDA data that would be required if the Contractor were a Business Associate under HIPAA, regardless of whether the Contractor is or is not a Business Associate.

2. To the extent that other state and/or federal laws provide additional, stricter, and/or more protective (collectively, more protective) privacy and/or security protections to CDA PSCI covered under this section beyond those provided through HIPAA, Contractor agrees:
 - a. To comply with the more protective of the privacy and security standards set forth in applicable state or federal laws to the extent such standards provide a greater degree of protection and security than HIPAA or are otherwise more favorable to the individuals whose information is concerned; and
 - b. To treat any violation of such additional and/or more protective standards as a breach or security incident, as appropriate.
 - c. Examples of laws that provide additional and/or stricter privacy protections to certain types of CDA PSCI, as defined in this section, include, but are not limited to the Information Practices Act, California Civil Code sections 1798-1798.78, Confidentiality of Alcohol and Drug Abuse Patient Records, 42 CFR Part 2, Welfare and Institutions Code section 5328, and California Health and Safety Code section 11845.5.
 - d. If the Contractor is a Qualified Service Organization (QSO) as defined in 42 CFR section 2.11, the Contractor agrees to be bound by and comply with subdivisions (2)(i) and (2)(ii) of that section.

E. Use Restrictions

The Contractor shall not use any CDA PSCI for any purpose other than performing the Contractor's obligations under this Agreement.

F. Safeguards and Security

The Contractor shall implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of CDA PSCI including electronic CDA PSCI that it creates, receives, maintains, uses, or transmits on behalf of CDA. The Contractor shall develop and maintain a written information privacy and security program that includes administrative, technical, and physical safeguards appropriate to the size and complexity of the Contractor's operations and the nature and scope of its activities. The Contractor's administrative, technical, and physical safeguards shall include, at a minimum:

1. Technical Security Controls:

The Contractor shall, at a minimum, utilize a National Institute of Standards and Technology Special Publication (NIST SP) 800-53 compliant security

framework when selecting and implementing its security controls and shall maintain continuous compliance with NIST SP 800-53 as it may be updated from time to time. The current version of NIST SP 800-53, Revision 5, is available online at <https://csrc.nist.gov/publications/detail/sp/800-53/rev-5/final>; updates will be available online at <https://csrc.nist.gov/publications/sp800>.

2. Removable Media Devices

All electronic files that contain CDA PSCI data must be encrypted when stored on any removable media or portable device (i.e., USB thumb drives, floppies, CD/DVD, smart devices, tapes, etc.). PSCI must be encrypted, at a minimum, using a FIPS 140-2 certified algorithm or successor standards, such as Advanced Encryption Standard (AES), with a 128bit key or higher.

3. Patch Management:

The Contractor shall apply security patches and upgrades and keep virus software up to date on all systems which PHI and other confidential information may be used.

4. Confidentiality Statement:

All people that will be working with CDA PSCI must sign a confidentiality statement that includes, at a minimum, General Use, Security and Privacy Safeguards, Unacceptable Use, and Enforcement Policies. The statement must be signed by all people prior to accessing CDA PSCI. The statement must be renewed annually. The Contractor shall retain each person's written confidentiality statement for CDA inspection for a period of six (6) years following Agreement termination.

5. Transmission and Storage of PSCI:

All persons that will be working with CDA PSCI shall employ with FIPS 140-3 compliant encryption of PHI, at rest and in motion, unless it has been determined that such encryption is unreasonable and inappropriate based upon a risk assessment and equivalent alternative measures are in place and documented as such.

6. Minimum Necessary:

Only the minimum necessary amount of CDA PSCI required to perform necessary business functions applicable to the terms of this Agreement may be used, disclosed, copied, downloaded, or exported.

7. Antivirus Software:

All workstations, laptops and other systems that process and/or store CDA PSCI must install and actively use a comprehensive anti-virus software solution with automatic updates scheduled at least daily.

8. Data Security:

CDA PSCI will be stored separately from other customers' data. Data will be stored and processed within the continental United States, and remote access to data from outside the continental United States will be prohibited. Data will be encrypted such that unauthorized parties are unable to read the data within the database/data repositories or any backups.

9. Mailing:

Mailings of CDA PSCI shall be sealed and secured from damage or inappropriate viewing of PSCI to the extent possible. Mailings which include 500 or more individually identifiable records of CDA PSCI in a single package shall be sent using a tracked mailing method which includes verification of delivery and receipt, unless the prior written permission of CDA to use another method is obtained.

10. Security Officer:

The Contractor shall designate a Security Officer to oversee its data security program who will be responsible for carrying out its privacy and security programs and for communicating on security matters with CDA.

11. Mitigation of Harmful Effects:

The Contractor shall mitigate, to the extent practicable, any harmful effect that is known to the Contractor of use or disclosure of PSCI and other confidential information in violation of the requirements of this section.

12. Access to, and Accounting For, Disclosure of PSCI

The Contractor shall document and make available to CDA or (at the direction of CDA) to an Individual such disclosures of CDA PSCI and information related to such disclosures necessary to respond to a proper request by the subject Individual for an accounting of disclosures of personal information as required by 45 CFR section 164.524 or any applicable state or federal law.

G. Employee Training

All persons who assist in the performance of functions or activities on behalf of CDA, or access or disclose CDA PSCI, must complete information privacy and security training, at least annually, at the Contractor's expense. Each person who receives information privacy and security training must sign a certification, indicating the member's name and the date on which the training was completed. These certifications must be retained for a period of six (6) years following Agreement termination.

H. Employee Discipline

Appropriate sanctions must be applied against persons who fail to comply with privacy policies and procedures or any provisions of these requirements, including termination of employment where appropriate.

I. Access to Practices, Books, and Records

The Contractor shall make its internal practices, books, and records relating to the use and disclosure of PSCI on behalf of CDA available to CDA upon reasonable request.

J. Special Provision for SSA Data

If the Contractor receives data from or on behalf of CDA that was verified by or provided by the Social Security Administration (SSA Data) and is subject to an agreement between CDA and SSA, the Contractor shall provide, upon request by CDA, a list of all employees and agents who have access to such data, including employees and agents of its agents, to CDA.

K. Breaches and Security Incidents

The Contractor shall implement reasonable systems for the discovery and prompt reporting of any breach or security incident, and take the following steps:

1. Notice to CDA:

The Contractor shall notify CDA immediately by email or telephone of the discovery of:

- a. Unsecured CDA PSCI if the CDA PSCI is reasonably believed to have been accessed or acquired by an unauthorized person.
- b. Any suspected security incident which risks unauthorized access to CDA PSCI and/or other confidential information.

- c. Any intrusion or unauthorized access, use, or disclosure of CDA PSCI in violation of this Agreement; or
- d. Potential loss of confidential data affecting this Agreement.
- e. Notice via email shall be made using the current CDA 1025 “Information Security Incident Report” forms and shall include all information known at the time the incident is reported. The forms are available online at: https://aging.ca.gov/Information_security/
- f. Upon discovery of a breach or suspected security incident, intrusion, or unauthorized access, use or disclosure of CDA PSCI, the Contractor shall take:
 - i. Prompt corrective action to mitigate any risks or damages involved with the security incident or breach; and
 - ii. Any action pertaining to such unauthorized disclosure is required by applicable Federal and State laws and regulations.

2. Investigation of Security Incident or Breach

The Contractor shall immediately investigate such security incident, breach, or unauthorized use or disclosure of CDA PSCI.

3. Complete Report

The Contractor shall provide a complete report of the investigation to CDA within (10) working days of the discovery of the breach or unauthorized use or disclosure. The complete report must include an assessment of all known factors relevant to a determination of whether a breach occurred under applicable federal and state laws. The report shall include a full, detailed corrective action plan including information on measures that were taken to halt and/or contain improper use or disclosure. If CDA requests information in addition to this report, the Contractor shall make reasonable efforts to provide CDA with such information. CDA will review and approve or disapprove the Contractor’s determination of whether a breach occurred, whether the security incident or breach is reportable to the appropriate entities, if individual notifications are required, and the Contractor’s corrective action plan.

- a. If the Contractor does not submit a complete report within the ten (10) working day timeframe, the Contractor shall request approval from CDA within the ten (10) working days timeframe of a new submission timeframe for the complete report.

4. Notification of Individuals

If the cause of a breach is attributable to the Contractor or its agents, the

Contractor shall notify individuals accordingly and shall pay all costs of such notifications as well as any costs associated with the breach. The notifications shall comply with applicable federal and state law. CDA shall approve the time, manner, and content of any such notifications and their review and approval must be obtained before the notifications are made.

5. Responsibility for Reporting Breaches to Entities other than CDA

If the cause of a breach of CDA PSCI is attributable to the Contractor or its subcontractors, the Contractor is responsible for all required reporting of the breach as required by applicable federal and state law.

6. Submission of Sample Notification to Attorney General:

If notification to more than 500 individuals is required pursuant to California Civil Code section 1798.29, regardless of whether the Contractor is considered only a custodian and/or non-owner of the CDA PSCI, the Contractor shall, at its sole expense and at the sole election of CDA, either:

- a. Electronically submit a single sample copy of the security breach notification, excluding any personally identifiable information, to the Attorney General pursuant to the format, content, and timeliness provisions of Section 1798.29, subdivision (e). The Contractor shall inform the CDA Privacy Officer of the time, manner, and content of any such submissions prior to the transmission of such submissions to the Attorney General; or
- b. Cooperate with and assist CDA in its submission of a sample copy of the notification to the Attorney General.

L. Contact Information

To direct communications to the above referenced CDA staff, the Contractor shall initiate contact as indicated herein. CDA reserves the right to make changes to the contact information below by giving written notice to the Contractor.

CDA Privacy Officer	CDA Information Security Officer
Office of Legal Services 2880 Gateway Oaks Drive, Suite 200 Sacramento, CA 95833 Attn: Chief Counsel Email: privacy@aging.ca.gov Telephone: (916) 419-7500	Information Security Branch 2880 Gateway Oaks Drive, Suite 200 Sacramento, CA 95833 Attn: Information Security Officer Email: iso@aging.ca.gov Telephone: (916) 419-7500

M. Responsibility of CDA

CDA agrees to not request the Contractor use or disclose PSCI in any manner that would not be permissible under HIPAA and/or other applicable federal and/or state law.

ARTICLE XVII. COPYRIGHTS AND RIGHTS IN DATA

A. Copyrights

1. If any material funded by this Agreement is subject to copyright, the State reserves the right to copyright such material and the Contractor agrees not to copyright such material, except as set forth in Section B of this Article.
2. The Contractor may request permission to copyright material by writing to the Director of CDA. The Director shall grant permission or give reason for denying permission to the Contractor in writing within sixty (60) days of receipt of the request.
3. If the material is copyrighted with the consent of CDA, the State reserves a royalty-free, non-exclusive, and irrevocable license to reproduce, prepare derivative works, publish, distribute and use such materials, in whole or in part, and to authorize others to do so, provided written credit is given to the author.
4. The Contractor certifies that it has appropriate systems and controls in place to ensure that State funds will not be used in the performance of this contract for the acquisition, operation, or maintenance of computer software in violation of copyright laws.

B. Rights in Data

1. The Contractor shall not publish or transfer any materials, as defined in paragraph 2 below, produced or resulting from activities supported by this Agreement without the express written consent of the Director of CDA. That consent shall be given, or the reasons for denial shall be given, and any conditions under which it is given or denied, within thirty (30) days after the written request is received by CDA. CDA may request a copy of the material for review prior to approval of the request. This subsection is not intended to prohibit the Contractor from sharing identifying client information authorized by the participant or summary program information which is not client-specific.

2. As used in this Agreement, the term “subject data” means writings, sound recordings, pictorial reproductions, drawings, designs or graphic representations, procedural manuals, forms, diagrams, workflow charts, equipment descriptions, data files and data processing or computer programs, and works of any similar nature (whether or not copyrighted or copyrightable) which are first produced or developed under this Agreement. The term does not include financial reports, cost analyses and similar information incidental to contract administration, or the exchange of that information between CDA and the Contractor to facilitate uniformity of contract and program administration on a statewide basis.
3. Subject only to other provisions of this Agreement, the State may use, duplicate, or disclose in any manner, and have or permit others to do so subject to State and federal law, all subject data delivered under this Agreement.

Budgetary Summary

ADRC's Name: San Francisco County ADRC

ADRC's Number: **05**

Contractual No.: AD-2627 - **05**

BUDGETARY PERIOD:	2026-2027	ORIGINAL <input checked="" type="radio"/> REVISION <input type="radio"/>	SUBMISSION DATE: 5/20/26
PAYMENT METHOD:	ADVANCE <input type="radio"/> REIMBURSEMENT <input checked="" type="radio"/>	AMENDMENT	

Cost Classification	
Direct Administration	
Personnel	\$0
Operating Expenses	\$0
Equipment	\$0
Fiscal Entity's Indirect Costs	\$0
Total Direct, Administrative Costs	\$0

Fiscal Entity's Compliant Check	
% Direct Administration :	0.0000%
% Indirect Costs : <small>(≤ 20% of the Contractor's Modified Total Direct Costs)</small>	0.0000%

Cost Classification	
Direct Program	
Personnel	\$0
Operating Expenses	\$0
Equipment	\$0
Fiscal Entity's Indirect Costs	\$0
Total Direct, Programmatic Costs	\$0

Cost Classification	
Contracted Services	
Subcontracted Personnel	\$324,650
Subcontracted Operating Expenses	\$0
Subcontracted Equipment	\$0
Subcontracted Indirect Costs	\$0
Total Contracted Costs	\$324,650

ADRC's Total Budget	\$324,650
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FOR STATE USE ONLY			
Fiscal Analyst's Approval:	Date:	Fiscal Manager's Approval:	Date:
>	>	>	>

Fringe Benefits Needed:	\$0
Total of Direct, Administrative Personnel:	\$0

OPERATING EXPENSES	
Expense	Total
Alarm	
Audit	
Background Check for potential employees (e.g., <i>Live Scan</i>)	
Bank Fees (not penalties, minimal balances, or late fees)	
Certification (for an ADRC supportive service)	
Conference for ADRC (e.g., fees for registration)	
Database (i.e., cost to use)	
Insurance (e.g., professional liability)	
Internet (e.g., equipment or installation but not data overages or late fees)	
Interpretative Service (e.g., to provide ADRC service)	
Janitorial Services	
Lease of Equipment	
License (to use software)	
Marketing (Outreach)	
Membership Fee (for an ADRC supportive service)	
Office Supplies (e.g., pen)	
Postage	
Recruitment (of personnel for an ADRC service)	
Rent (for space to offer ADRC services)	
Subscription (for an ADRC supportive service)	
Supplies	
Telephonic Service (e.g., organization's landline)	
Training (e.g., seminar or webinar for ADRC)	
Travel (within CA) ¹	
Travel (outside of CA) ²	
Utilities	
Direct Vendor(s)	
Workers' Compensation	
Other Costs (<i>Itemize/Describe Below</i>)	



Total of Direct, Administrative Operating Expenses:	\$0
Total of Direct, Administrative Equipment:	\$0
Total of Direct, Administrative Personnel:	\$0
Administrative Indirect Costs:	\$0
TOTAL of DIRECT, ADMINISTRATIVE EXPENSES:	\$0

¹Reimbursement for travel cannot exceed the California Department of Human Resources's (CalHR) rates.
²An approved budget with "travel" out of the state still requires CDA's approval prior to any bookings or reservations.
³All equipment with a unitary or total cost of at least \$5,000, any equipment that retains computer memory, and any items specified in the contract.
Assignment of funds to "equipment" still requires CDA's approval prior to purchase.

For assistance with this financial document's accessibility, please contact finance@aging.ca.gov.

					\$0.00
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Total Salaries/Wages Needed:	\$0
Fringe Benefits Needed:	\$0
Total of Direct, Programmatic Personnel:	\$0

OPERATING EXPENSES	
Expense	Total
Alarm	
Audit	
Background Check for potential employees (e.g., Live Scan)	
Bank Fees (not penalties, minimal balances, or late fees)	
Certification (for an ADRC supportive service)	
Conference for ADRC (e.g., fees for registration)	
Database (i.e., cost to use)	
Insurance (e.g., professional liability)	
Internet (e.g., equipment or installation but not data overages or late fees)	
Interpretative Service (to provide ADRC service)	
Janitorial Services	
Lease of Equipment	
Marketing (Outreach)	
Membership Fee (for an ADRC supportive service)	
Office Supplies (e.g., ink)	
Postage	
Recruitment (of personnel to provide ADRC service)	
Rent (for space to offer ADRC services)	
Subscription (for an ADRC supportive service)	
Supplies	
Telephonic Service (e.g., organization's landline)	
Training (e.g., seminar or webinar for ADRC)	
Travel (within CA) ¹	
Travel (outside of CA) ²	
Utilities	
Direct Vendor(s)	

Workers' Compensation	
Other Costs (Itemize/Describe Below)	

Total of Direct, Programmatic Operating Expenses:	\$0
Total of Direct, Programmatic Equipment:	\$0
Total of Direct, Programmatic Personnel:	\$0
Direct Services' Indirect Costs:	\$0
TOTAL of DIRECT SERVICES:	\$0

¹Reimbursement for travel cannot exceed the California Department of Human Resources's (CalHR) rates.

²An approved budget with "travel" out of the state still requires CDA's approval prior to any bookings or reservations.

³All equipment with a unitary or a total cost of at least \$5,000, any equipment that retains computer memory, and any items specified in the contract.

Assignment of funds to "equipment" still requires CDA's approval prior to purchase.

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Fiscal Entity's Subcontractors

ADRC's Number: **05**

Contractual No.:

AD-2627-05

BUDGETARY PERIOD: 2026-2027	ORIGINAL <input checked="" type="radio"/> REVISION <input type="radio"/>	SUBMISSION DATE: 5/20/26
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Subcontractor			Administrative Costs	Programmatic Costs	Total Funds
Name:	Episcopal Community Services		0.00	60,371.00	\$60,371
Physical Address:	165 8th St, San Francisco, CA 94103				
Telephone Number:	(415) 487-3300 x1201	Personnel:		60,371.00	
Contact's Name:	Mary Elizabeth Stokes	Operating:			
Contact's Email Address:	bstokes@ecs-sf.org	Indirect:			
Name:	Mission Neighborhood Centers		0.00	60,000.00	\$60,000
Physical Address:	362 Capp St, San Francisco, CA 94110				
Telephone Number:	415-206-7752	Personnel:		60,000.00	
Contact's Name:	Richard Ybarra	Operating:			
Contact's Email Address:	richard.ybarra@mncsf.org	Indirect:			
Name:	Self-Help for the Elderly		0.00	94,279.00	\$94,279
Physical Address:	731 Sansome St. Ste. 100 San Francisco, CA 94111				
Telephone Number:	415-677-7600	Personnel:		94,279.00	
Contact's Name:	Anni Chung	Operating:			
Contact's Email Address:	annic@selfhelpelderly.org	Indirect:			
Name:	Sequoia Living		0.00	70,000.00	\$70,000
Physical Address:	1525 Post St, San Francisco, CA 94109				
Telephone Number:	415-351-3616	Personnel:		70,000.00	

Contact's Name:	Sara McVey	Operating:						
Contact's Email Address:	SMcVey@SequoiaLiving.org	Indirect:						
Name:	Toolworks Inc.		0.00	40,000.00	\$40,000			
Physical Address:	25 Kearny St, San Francisco, CA 94108							
Telephone Number:	(415) 733-0990 x619					Personnel:		40,000.00
Contact's Name:	Kristy Feck					Operating:		
Contact's Email Address:	kfeck@toolworks.org					Indirect:		
Name:			0.00	0.00	\$0			
Physical Address:								
Telephone Number:						Personnel:		
Contact's Name:						Operating:		
Contact's Email Address:						Indirect:		
			Total of Subcontracted Indirect Costs:		\$0			
			Total of Subcontracted Costs:		\$324,650			

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ADRC's Property/Equipment

ADRC's Number: 05

Contractual No.: AD-2627-05

BUDGETARY PERIOD: 2026-2027	ORIGINAL <input checked="" type="radio"/> REVISION <input type="radio"/>	5/20/26	
Direct, Administrative Equipment*			
Item's Description	Quantity	Per Unit Cost	Total
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
Total of Direct, Administrative Equipment:			\$0

Direct, Programmatic Equipment*			
Item's Description	Quantity	Per Unit Cost	Total
			\$0.00
			\$0.00
			\$0.00
			\$0.00
			\$0.00
Total of Direct, Programmatic Equipment:			\$0

Subcontracted Equipment*			
Item's Description	Quantity	Per Unit Cost	Total
Subcontractor "A"			
			\$0.00
			\$0.00
			\$0.00
			\$0.00
Total:			\$0

Subcontractor "B"			
			\$0.00
			\$0.00
			\$0.00
			\$0.00
Total:			\$0

Subcontractor "C"			
			\$0.00
			\$0.00
			\$0.00
			\$0.00
Total:			\$0

Subcontractor "D"			
			\$0.00
			\$0.00
			\$0.00
			\$0.00

			Total:	\$0
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Subcontractor "E"				
			\$0.00	
			\$0.00	
			\$0.00	
			\$0.00	
			Total:	\$0

Subcontractor "F"				
			\$0.00	
			\$0.00	
			\$0.00	
			\$0.00	
			Total:	\$0